ETHISPHERE®
GOOD. SMART. BUSINESS. PROFIT.®

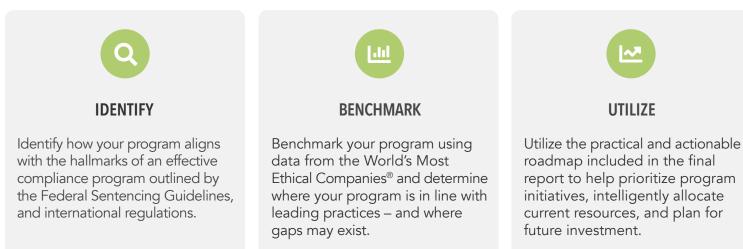## Ethics & Compliance Program Assessment

Gain Insights into Your Program's Effectiveness and Chart a Path to Improvement

Our assessment is both a quantitative and qualitative review of your corporate governance systems. The output is a detailed findings report that identifies gaps and offers recommendations to reduce risk and improve business practices.

"A lot of the things we've developed have come from being part of Ethisphere's community and conducting these assessments."

—JLL

IDENTIFY

Identify how your program aligns with the hallmarks of an effective compliance program outlined by the Federal Sentencing Guidelines, and international regulations.

BENCHMARK

Benchmark your program using data from the World's Most Ethical Companies® and determine where your program is in line with leading practices – and where gaps may exist.

UTILIZE

Utilize the practical and actionable roadmap included in the final report to help prioritize program initiatives, intelligently allocate current resources, and plan for future investment.

Learn More:
www.ethisphere.com/what-we-do/program-assessment

The Process to Assess Your Ethics & Compliance Program

Our evaluation and benchmarking process on average takes 12 -14 weeks.

Step 1
KICKOFF
Review objectives and deliverables, determine roles and responsibilities, and discuss process and timeline.

Step 2
BUSINESS ENVIRONMENT ANALYSIS
Complete the Ethics Quotient® (EQ) questionnaire; Ethisphere reviews documents to determine scope.

Step 3
DOCUMENT SUBMISSION & REVIEW
Documents may cover the structure of the compliance function, code of conduct, and ethics training curriculums.

Step 4
EVALUATION OF WRITTEN STANDARDS
Ethisphere uses a proprietary methodology to assess policies and identify opportunities for improvement.

Step 5
MANAGEMENT INTERVIEWS
Ethisphere interviews your team from legal, compliance, audit, HR, and others who impact the program.

Step 6
ETHICAL CULTURE AND PERCEPTIONS ASSESSMENT
Ethisphere administers this optional survey which measures employee perceptions of the company's ethical culture.

Step 7
DRAFT REPORT AND FINDINGS PRESENTATION
Ethisphere presents a detailed draft during a call to discuss what will be included in the final report.

Step 8
FINAL REPORT
Ethisphere presents the findings, analysis, and metrics used to reach conclusions; identifies gaps and goals for improvement.

Independent Validation of Your Program

Upon request, Ethisphere can also perform an independent review of your company's program, providing external validation of your commitment to business ethics and compliance. We recognize companies that have proven that their programs and practices are extraordinary, and provide mechanisms to help communicate this key differentiator to employees, customers, and investors.

ETHISPHERE®
COMPLIANCE
L E A D E R
V E R I F I C A T I O N

Request a Demo

Learn how companies are using our Ethics & Compliance Program Assessment services to reduce risks and gain business advantage.

Learn How: Email info@ethisphere.com to request a demo or receive more information.

www.ethisphere.com | 888-229-3207

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Ethisphere brings together leading global companies to define and promote best practices for ethics and compliance, and helps to advance business performance through data-driven assessments, benchmarking and guidance.